

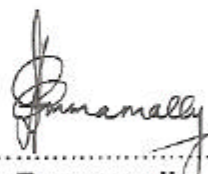
**TITLE: QUALITY ASSURANCE  
 REQUIREMENTS FOR THE  
 PROCUREMENT OF ASSETS,  
 GOODS AND SERVICES.**

**REFERENCE**      **REV**  
**TRMASACQ9**      **0**  
**DATE: MAY 2003**  
**PAGE 1 OF 18**  
**REVISION DATE:**  
**MAY 2006**

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Date: 14<sup>th</sup> May 2003

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## **1. Scope**

This Standard identifies Transmission's requirements for the implementation of a Quality Management System by Transmission Suppliers.

## **2. Purpose**

To ensure that all Suppliers contracted to Transmission have an implemented Quality Management System for Product, Works, Service and Maintenance.

## **3. Definitions**

- 3.1     Concession: A contractual agreement between Transmission and a supplier to use or release a specified quantity of material, components or stores, that do not comply with the stipulated conditions. It shall refer to a specific item or defect, and permission granted shall not mean general acceptance.
- 3.2     Contract: Agreements that may be either long or short term in nature. In addition, the word, contract, may be interchanged with purchase order and visa versa
- 3.3     Controlled copy: A copy of a manual, procedure, instruction or document that requires accountability and is subject to regular updating. Controlled manuals are assigned unique copy numbers.
- 3.4     Hold point: A predetermined stage beyond which work shall not proceed without the attendance of and written authorisation of a Transmission representative or Transmission inspection authority/agency, whichever is applicable.
- 3.5     Inspection agency: An organisation or person appointed by Transmission for the purpose of performing quality assurance/quality control, monitoring or inspection services.
- 3.6     Inspection authority: An organization or person accredited in accordance with SABS 0227-1 or SABS 0227-2. The Chief Inspector of the Department of Manpower shall approve the inspection authority.
- 3.7     Production permit: A contractual agreement between Transmission and a contractor / manufacturer / repairer prior to manufacture, to use materials or make or use components or stores which differ from the specified requirements for a limited quantity or period, and may on no account be allowed to extend to another contract.
- 3.8     Quality programme: A documented, approved and authorised set of activities, resources and events serving to implement the quality system of an organisation.
- 3.9     Quality Status Certificate: A certificate issued to a supplier by Transmission Supplier Management indicating the current level of their Quality Management System within the organisation
- 3.10    SABS ISO 9000 series of documents: A formal process as contained in ISO 9000:2000 is applicable. For international suppliers the country of origin's equivalent shall apply.

- 3.11 Supplier: Also known as, a company, a manufacturer, a contractor, a repairer, an agent, a consultant, a servicer, or a maintainer who can provide Transmission with goods and services
- 3.12 Uncontrolled copy: A copy of a manual, procedure, instruction or document that does not require accountability and is not subject to regular updating. Uncontrolled copies shall be stamped "Uncontrolled Copy, For Information Only".
- 3.13 Witness point: A predetermined stage in the Quality product/process plan beyond which work may proceed, provided Transmission or their authority/agency have been notified according to the requirements of the contract/order.

## **4. References**

The following documents contain provisions that, through reference in the text, constitute provisions of this standard. All documents are subject to revision, and parties to agreements based on this standard, shall apply the most recent revisions of the documents indicated below. Information on currently valid national and international standards may be obtained from the South African Bureau of Standards.

ESKADAAX1	Supplier Management In Eskom
ESKADAAT6	Procurement By Eskom From Black Suppliers
ISO 9000:2000	Quality Management Systems – Fundamentals and Vocabulary
ISO 9001-2000	Quality Management Systems – Requirements
ISO 29004-2000	Quality Management Systems – Guidelines for Performance Improvements
SABS 0227-1:1990	The evaluation of the technical competence of inspection authorities for the certification of vessels under pressure. Part 1: Certification of modified or repaired vessels under pressure
SABS 0227-2:1994	The evaluation of the technical competence of inspection authorities for the certification of vessels under pressure. Part 2: Certification of modified or repaired vessels under pressure
ESKASAAA3	Eskom approval of personnel performing quality related special processes on all Eskom plant.
NWS 1030	Documentation requirements associated with the manufacture and construction / erection of high-pressure pipework
NWS 1669	Pressure Vessel Documentation OHS Act,

## 5. Supplier Assessment and Listing

- 5.1 Quality requirements as determined in clause 11.1 of this document.  
Preferred Supplier.  
Should the supplier be ISO Listed or meets all the requirements of clause 11.1, a Preferred Supplier Quality Certificate (Annexure D) will be issued and the supplier information captured on Transmission Supplier Management System will be as a Preferred Supplier.
- 5.2 Developing Supplier.  
Should the supplier not meet the requirements of clause 11.1 of this document, a Developing Suppliers Quality Status Record (Annexure E) will be issued to the supplier giving the appropriate Status rating. This information will be captured on the Transmission Supplier Management System as a Developing Supplier
- 5.3 Quality Developing Status Rating.  
The following ratings will apply:

Quality Development Rating (cumulative)	Status of Implementation	Status
QD-0	None of the following in place	Unqualified
QD-1	Inspection and Test Plan in place and proof of implementation	Developing, subject to continuous improvement/ development towards QD-9
QD-2	Work instructions in place and proof of implementation	
QD-3	Contract Quality Plan in place and proof of implementation	
QD-4	Company Statement, Organogram and Objectives.	
QD-5	ISO 9001 clause 4 Business Management System	
QD-6	ISO 9001 clause 5 Management Responsibility	
QD-7	ISO 9001 Clause 6 Resource Management	
QD-8	ISO 9001 Clause 7 Service / Product Realisation	
QD-9	ISO 9001 Clause 8 Measuring, Analysis and Improvement	Transferred to Preferred status

The suppliers Quality Development Status Rating will be reviewed on a continuous basis or at the express request of the supplier.

A developing supplier may be invited to tender on an enquiry and may be awarded a contract.

This privilege of being invited to tender will be limited to a once off enquiry.

Thereafter invitations to tender will be solely dependent upon continuous improvement to the suppliers Quality Management System, unless not applicable as a result of a Transmission Management decision.

## **6. Supplier Tender Submissions**

- 6.1 The tenderer shall submit with his tender to Transmission an appropriately valid Supplier's Quality Status Certificate (Annexure D) or Quality Developing Status Document (Annexure E).
- 6.2 An evaluation of the supplier's quality assurance capabilities will be based on the above submissions.
- 6.3 Should the Quality Status Certificate or Quality Developing Status Document not be available then the tenderer must submit
  - 6.3.1 One uncontrolled copy of the supplier's quality manual
  - 6.3.2 One example of a typical quality product / process inspection and test plan, and,
  - 6.3.3 If the contractor has been accredited under a national or international listing scheme, a certified copy of the certificate of listing shall be sent with the tender.
- 6.4 Upon submission of these documents Supplier Management will conduct an assessment and an appropriate Quality Status Certificate or Quality Developing Status Document will be issued.
- 6.5 **No contract may be awarded without the supplier being in possession of the above certificate**

## **7. Supplier Contract / Order award**

- The following documents shall be submitted to Transmission for review, acceptance and approval prior to commencement of work:
- 7.1 An actual Product / Process Inspection and Test Plan (Annexure A) and where applicable
  - 7.2 A controlled copy of the Contract Quality Plan (clause 11.2.1)

## **8. Supplier Execution of the contract/order**

- The following suppliers Transmission approved documentation shall be available, but may not be limited to, at the supplier's place of work:
- 8.1 A Quality Management System.
  - 8.2 A Contract Quality Plan.
  - 8.3 An Inspection and Test Plan
  - 8.4 Test Certificates.
  - 8.5 Factory / Site Release Notes.
  - 8.6 Details of Qualifications / Certification of inspection and test staff performing special processes as required in ESKASAAA3.
  - 8.7 Concession/ Production Permits.

## **9. Supplier Completion of the contract/order**

Technical or Quality related documentation required by standards / specifications as listed in the contract documentation or as determined during the execution of the contract shall be compiled by the supplier and submitted to Transmission.

## **10. Supplier Performance Evaluation**

Upon completion of orders, or at predetermined intervals in the case of long term contracts, the supplier will be evaluated in terms of their performance.

The Quality Performance criteria will consist of:

- 10.1 Quality Assurance Section Audit Report,
- 10.2 Outstanding Non-conformances,
- 10.3 Outstanding Defect Reports,
- 10.4 Concession applications affecting quality of product / service,
- 10.5 Quality Assurance Reports permitting release of product with exclusions,
- 10.6 Negative customer feedback received on product / service quality by Transmission users,
- 10.7 Adherence to Clauses 5 to 11 of this document

One or more of the following disciplines, Procurement, Quality Assurance, Technical, Projects, or end users will typically undertake the evaluation.

This information will be captured by the Supplier Management System and will be used in determining future tender lists. The information may also be used to remove a non-performing supplier from Transmission's Suppliers List.

## **11. Requirements**

- 11.1 Supplier Quality Management System Requirements
  - 11.1.1 The supplier shall have a documented, authorised and implemented quality management system based on the requirements of ISO 9000:2000.
  - 11.1.2 The supplier shall be in possession of Transmission approved Process / Product Inspection and Test Plan (see Annexure A).
  - 11.1.3 The supplier shall have, when applicable a Contract Quality Plan (See clause 11.2.1)
  - 11.1.4 The supplier shall be responsible for ensuring that all subcontractors' quality programmes comply with the contract / order and shall define the specific system elements applicable to the subcontractor's scope of work or supply
  - 11.1.5 The supplier shall inform Transmission of any changes to the quality programme or staff that will affect the quality system and obtain Transmission's agreement prior to implementation of these changes.
  - 11.1.6 All International Suppliers shall be listed with a certified body from the supplier's country of origin or approved registered body.
  - 11.1.7 This standard shall also apply to Local Agents of locally and internationally supplied Products, Works, Services and Maintenance.

## 11.2 Quality planning

The specific requirements of this standard are as follows:

- 11.2.1 The supplier shall prepare a Contract Quality Plan. The Contract Quality Plan shall where appropriate address the quality practices and interfaces with Transmission applicable to the contract / order that are not fully covered by the supplier's documented quality system. The scope and contents of the Contract Quality Plan shall be determined by the nature of the work and shall:
- 11.2.1.1 Indicate the interface with the Contractor's quality system
  - 11.2.1.2 Establish communication channels between the Contractor and Eskom in respect of product quality and the integration of such with the prescribed communication channels.
  - 11.2.1.3 Indicate how specific subcontractors will be monitored.
  - 11.2.1.4 Identify items or activities for which Product/Process Quality Plans will be prepared.
  - 11.2.1.5 Identify the specifications, drawings and acceptance criteria for material for which Product/Process Quality Plans are not required.
  - 11.2.1.6 Identify the areas or processes requiring special controls.
  - 11.2.1.7 Identify the quality records pertaining to the contract/ order and how they will be controlled and retained.
  - 11.2.1.8 Identify the Management Representative and personnel responsible for the control of quality activities and their relationship to the Contractor's structure.
  - 11.2.1.9 Identify the documents that are to be submitted to Eskom.
  - 11.2.1.10 Indicate the Contractors post-award quality-monitoring programme.
- 11.2.2 The supplier shall prepare product / process inspection and test plan identifying sequential operations and indicating inspection and test points and areas where reports and records are required. (Annexure A)
- 11.2.3 Quality product / process inspection and test plans shall be prepared for each uniquely identified item and shall be traceable to the work performed.
- 11.2.4 Quality product / process inspection and test plans shall be reviewed by Transmission or its inspection authority / agency and they shall allow for the inspection of Transmission specific requirements, including hold and witness points prior to the commencement of work.
- 11.2.5 Subsequent changes to the Transmission approved plans shall require Transmission's or its inspection authorities / agency's agreement prior to the commencement of work involving an activity affected by such changes.
- 11.2.6 When hold and witness points that require attendance by Transmission or its inspection authority / agency are specified in the contract / order, the supplier shall give seven (7) days notification where possible or 48 hours as a minimum indication of readiness. This shall be followed by a not less than 24-hour confirmation notice of the specific time at which the identified Transmission involvement will occur. (Annexure B)



### 11.3 Access to the contractor's and sub-contractor's premises and facilities

Transmission or its inspection authority / agency, where appropriate, shall be afforded access to supplier and sub-contractors' premises and facilities at reasonable times to conduct quality audits, surveillance or inspections to verify compliance with the contract/order. The level of monitoring may vary during the contract / order depending on the demonstrated performance and Transmission's degree of confidence.

### 11.4 Non-conforming materials, parts, workmanship or documentation

#### 11.4.1 Contractor's non-conformance reports.

11.4.1.1 Non-conformance reports shall be prepared by the contractor in terms of non-conforming materials, parts, workmanship or documentation and shall form part of the permanent quality records. When requested, these reports shall be submitted to Transmission, or Transmission's inspection authority / agency, for review.

#### 11.4.2 Transmissions non-conformance reports

11.4.2.1 Respond formally and timeously to Transmission Quality Assurance initiated non-conformance reports.

### 11.5 Applications for concession / production permit to Transmission.

11.5.1 The contractor or Transmission, or Transmission's inspection authority / agency shall reject non-conforming materials, parts, workmanship or documentation. In exceptional cases, if considered suitable for repair, rework, or if it may be used "as is", non-conforming materials, parts or workmanship, shall be the subject of a Concession / Production permit application (see Annexure C). Such application shall be submitted direct to Transmission, or Transmission's inspection authority / agency.

11.5.2 Each subject of concession application/production permit shall be prepared on form QA-2/6 (Annexure C) by the responsible organisation. The form shall be completed in triplicate for submission to Eskom and shall, where necessary, be supported by appropriate documents e.g. drawings, procedures, specifications, etc.

11.5.3 The organisation shall maintain a Concession/ Production Permit Register, with each application being allocated a unique sequential number to be indicated on the form.

11.5.4 The organisation responsible for reporting the non-conformance to Eskom's requirements, for which a permit is being applied, shall clearly identify.

11.5.4.1 The responsible organisation (contractor or BU).

11.5.4.2 The supplier (manufacturer agent, sub-contractor or BU).

11.5.4.3 The Eskom requirement (contract, order, standard, specification, drawing, procedure or quality plan);

11.5.4.4 The reason for the application (material/design/specification change, manufacturing error etc.)

11.5.4.5 The proposed dispositioning (repair, firework, use as a

substitution deviation reclassification etc.)

And agreement shall be reached between Eskom and the responsible organisation on the effects of the non-conformance if granted.

- 11.5.5 Where an inspection authority is involved, the concession application/production permit and supporting document shall be submitted to the inspection authority for review. If applicable, the inspection authority shall endorse and stamp form QA-2/6 anywhere above the Eskom dispositioning box and forward the form to Eskom through established communication channels. If not acceptable, the application shall be resolved between the responsible organisation and the inspection authority.
- 11.5.6 If granted, concessions and production permits shall apply during the entire contract / order life and include design, procurement, manufacture assembly, construction, erection, and commissioning or guarantee phases of the contract. This shall apply to contracts / orders placed for maintenance and or modification of plant in operation
- 11.5.7 All non-conformances that affect form, fit or function, as specified by the contract / order, reference standard, technical specifications, Transmission approved drawings, procedures and quality product / process plans or which affect interchangeability or maintenance shall be reported to Transmission with the exception of those covered by clause 11.5.6
- 11.5.8 Where a Transmission appointed inspection authority / agency be involved, reporting shall be from the contractor through the inspection authority / agency to Transmission.
- 11.5.9 Contractors are not required to report to Transmission those non-conformances that can be made to conform by rework by the contractor / manufacturer, providing the rework is part of the normal practice during manufacture and where Transmission or their appointed inspection authority / agency has accepted the relevant rework procedures.
- 11.5.10 If the process of rework / repair involves new special processes (i.e. welding, heat treatment, non-destructive examination procedures that have not yet been approved by Transmission or Transmission's inspection authority / agency) or will have an effect on form, fit or function of other acceptable materials, components, equipment, structures or systems, then such non-conformances shall be reported to Transmission or Transmission's appointed inspection authority / agency.
- 11.5.11 A reportable non-conformance that cannot be reworked and that the contractor believes could be acceptable "as is", shall be the subject of a concession application.
- 11.5.12 A reportable non-conformance that can only be repaired shall be the subject of a concession application.
- 11.5.13 If components or materials, the manufacture of which was monitored or inspected by Transmission or Transmission's appointed inspection authority, are to be replaced by components not previously monitored by Transmission or its appointed inspection authority / agency, such a substitution shall be the subject of a concession application. (Annexure C).
- 11.5.14 Material deviations due to non-availability, mechanical properties, chemical

composition or similar problems, shall be the subject of a production permit before production commences.

- 11.5.15 Any concession agreed to in accordance with this specification should form the basis for a subsequent modification of contract or purchase order when costs are included.

## 11.6 Stop work order

Transmission shall be authorised to stop work and issue a stop work order, at any time for any portion of the work or service that is not being executed in accordance with the contract/order. When such an order is issued, the contractor shall immediately stop work in the specified area and shall not resume until written authorisation is given by Transmission.

## 11.7 Control of records

### 11.7.1 Retention of Quality Records

The supplier shall retain all Quality Records as per contract/ order for a period of five years or as specified in the contract.

### 11.7.2 Discarding of quality records

No quality records shall be destroyed or discarded by the contractor without prior written consent by Transmission.

### 11.7.3 Contractor's proprietary documentation

Whenever Transmission and the contractor mutually agree that the contractor retains certain quality records documentation that the contractor considers proprietary information, the contractor shall ensure that:

11.7.3.1 Such records and documentation are kept suitably protected against deterioration and/or damage for an agreed period;

11.7.3.2 Transmission is granted due access to such records and documentation, on request; and

11.7.3.3. Such records and documentation are properly indexed and readily retrievable at all times.

## 11.8 Preservation of product quality and delivery

11.8.1 Preservation of product quality shall be in accordance with the requirements of the ISO 9000:2000 series of documents. Specific requirements of this standard are as follows:

11.8.2 The contractor shall specify at the time of delivery any special requirements for safe transportation, handling, storage, and protection from environmental degradation, shelf life and utilization

11.8.3 Confirmation of delivery of quality related items by Transmission personnel, by signing the delivery note, shall not be interpreted as acceptance of the items.

11.8.4 Items shall be accepted or rejected only after Inspection of the items and review of the quality assurance data package to verify their conformance to specified requirements.

#### 11.9 Contractor's inspection

The contractor shall ensure that all work has been fully inspected, accepted and documented prior to requesting any inspection by Transmission, or Transmission's inspection authority / agency.

#### 11.10 Data Books for Pressure Systems

11.10.1 On completion of the relevant part of the contract works and prior to commissioning of plant, the contractor shall complete and present a data book for the pressure systems of each pressure vessel and the high pressure / temperature pipework system to Transmission.

11.10.2 The data books are to be presented in triplicate and indexed in accordance with NWS1669 or NWS 1030. They shall contain all the necessary information for the preparation of the final certification of inspection and test by the inspection authority as referred to in the Occupational Health and Safety Act, No. 85 of 1993.

#### 11.11 Product Release

11.11.1 No item of equipment covered by this document may be dispatched to site, unless it has been released by Transmission, Transmission's authority / agency through a Transmission, Transmission's authority / agency product release note unless otherwise agreed by Transmission in writing. The contractor shall ensure that one copy of the product release note is shipped with the equipment to site.

##### 11.11.2 Release of site work

No item of equipment covered by this document shall be placed into service unless it has been released by Transmission, Transmission's authority through a Transmission, Transmission's authority product release note.

**QUALITY ASSURANCE  
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**Annexure A Example of Product / Process Inspection and Test Plan**

<b>Company Logo</b>		<b>Company Name</b>										No.	
												Rev.	
												Page of	
<b>Order No</b>		<b>Project Description</b>											
<b>Item / Process Description</b>										<b>Section / Unit</b>			
<b>Operational / Activity Number and Description</b>		<b>Instruction Procedure Drawing or Specification Reference</b>	<b>Visual Dimensional Documentation or Non-Destructive Examination Activities</b>				<b>Supplier's Hold (H) Inspection (I), Test (T), Witness (W) or Surveillance (S) Points</b>			<b>Client Hold (H) Inspection (I), Test (T), Witness (W) or Surveillance (S) Points</b>			<b>Remarks, Deficiency or Nonconformance Report numbers</b>
			Vis.	Dim.	Doc.	NDE		Signature	Date		Signature	Date	

<b>Approved by Supplier</b>		<b>Approved by Client QA</b>		<b>Approved by Client Technical</b>		<b>Approved by Client Projects</b>	
Signature		Signature		Signature		Signature	
Name		Name		Name		Name	
Designation		Designation		Designation		Designation	
Date		Date		Date		Date	

**Annexure B – Inspection Notification Form**

<b>INSPECTION NOTIFICATION FORM</b>		TRMS.....	
Telephone: (011)800-5830 Fax (011) 800-4967 Address: P O Box 1091 Johannesburg 2000		Contractor's serial No	
Instructions for use: 1. Please make enough copies of this form for use during the contract. 2. To book an inspection or witnessing of tests, please complete this form and fax it to the above fax number, or to the supervisor as agreed. 3. Your attention is drawn to paragraph 11.2.6 of Quality Assurance Requirements for the procurement of <u>assets, goods and services</u>			
Please tick✓ type of activity required In-process Inspection <input type="checkbox"/> Release for Shipment Inspection <input type="checkbox"/> Release of Site Inspection <input type="checkbox"/> Witness/ Hold <input type="checkbox"/>			
(Please tick✓ relevant Company in top r/h box) ----- COMPANY NAME;	CONTRACTOR	SUPPLIER	MANUFACTURER
Date and Time of this Notification	Contract No	Order No	
Project Name or Destination	PQP (QITP) ref.& Operation No.	Previous DR or Notification No (if re-inspection)	
Item No.	Description of Product or Service	Item No.	Description of Product or Service
Please be notified that an inspection/test of the above items or equipment has been arranged as follows:			
Date	Time	Place	Company Contact Person
Any additional information:			
I hereby certify that all work will be fully inspected, accepted and documented by my company prior to the above inspection			
Originator's name	Signature	Telephone No	Fax No
<b>FOR TRANSMISSION USE</b>			
ACKNOWLEDGEMENT OF RECEIPT OF INSPECTION NOTIFICATION			
Received by:	Signature	Title	Date and Time
The inspection will be carried out by the following personnel: Please inform them of any changes.			
Name	Work Telephone No.	Home Telephone No	Fax No.
Message			

**ANNEXURE C – Application for Concession / Production Permit (Doc No QA – 2/6Rev 1)**

<b>Organisation</b>	Project/site:	Organisation's Application No.:..... Date :..... Transmission's Application No.:..... Date:.....								
	Organisation:			Address:						
	Supplier:			Address:						
	Contract/Order No. and Revision:			Specification No. and Revision:			Drawing No. and Revision:			
	Item/Service Description:						Identification No.:			
	Reason for Application:						NCR Ref. No.:			
							CAR Ref. No.:			
	Proposed Dispositioning:									
	Supporting Documents:									
	Submitted by: (Print name)			Signature:		Position:		Date:		

<b>Transmission and</b>	Effect on:									
	Safety (conventional)	Yes	No	Life	Yes	No	Documentation	Yes	No	
	Safety (Nuclear)	Yes	No	Interchangeability	Yes	No	Commercial;	Yes	No	
	Strength	Yes	No	Function	Yes	No	Warranty	Yes	No	
	Maintenance	Yes	No	Material	Yes	No	Cost Implications	Yes	No	
	(If the answer is "Yes", the applicable activity must be documented and the document attached to this application).									
	For Organisation: (Print name)			Signature:		Position:		Date:		
	For Transmission: (Print name)			Signature:		Position:		Date:		

<b>Transmission</b>	Transmission Dispositioning: Accept / Reject (delete as appropriate)			
		Commercial	Quality	Technical
	Print name			
	Sign			
	Position			
	Date			
	Justification:		Limit of applicability:	

**Annexure D – Preferred Supplier's Status Certificate**

Company Name: \_\_\_\_\_

Transmission Supplier Management in accordance with the requirements of TRMASACQ9, for the scope as detailed below has assessed the above named company;

Detailed scope of supply: \_\_\_\_\_

Manufacturer		Installer	
Agent / Distributor		Service	
Consultant		Contractor	

Vendor number: \_\_\_\_\_

Listing Number:		ISO 9001:2000		ISO 9001:1994	
-----------------	--	---------------	--	---------------	--

Validity Date:		Issuing Authority:	
----------------	--	--------------------	--

System Based upon:	ISO 9001:2000		ISO 9001:1994	
--------------------	---------------	--	---------------	--

**Expiry date of Certificate**

Issued By: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Issued By: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

This certificate is only valid till expiry date. Should anything change at the supplier that could affect their status with Eskom this certificate becomes null in void. This Certificate may not be used for any marketing purposes or otherwise except for submission to Transmission procurement during tender stage. Eskom Transmission reserves the right to withdraw this certificate at any stage and at its sole discretion.



**Annexure E – Developing Supplier's Quality Status Record**

Company Name: \_\_\_\_\_

Detail Scope of Supply: \_\_\_\_\_

Manufacturer		Installer	
Agent / Distributor		Servicer	
Consultant		Contractor	

Vendor number: \_\_\_\_\_

**QUALITY MANAGEMENT SYSTEM**

Quality Development Rating (cumulative)	Status of Implementation	Status	Comments
QD-0	None of the following in place	Unqualified	
QD-1	Inspection and Test Plan in place and proof of implementation	Developing, subject to continuous improvement/ development towards QD-9	
QD-2	Work instructions in place and proof of implementation		
QD-3	Contract Quality Plan in place and proof of implementation		
QD-4	Company Statement, Organogram and Objectives.		
QD-5	ISO 9001 clause 4 Business Management System		
QD-6	ISO 9001 clause 5 Management Responsibility		
QD-7	ISO 9001 Clause 6 Resource Management		
QD-8	ISO 9001 Clause 7 Service / Product Realisation		
QD-9	ISO 9001 Clause 8 Measuring, Analysis and Improvement	Transferred to Preferred status	

Any Product Listing: \_\_\_\_\_

**Supplier Final Rating:**

**Expiry date of Certificate:**

Transmission: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Supplier: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

This certificate is only valid till expiry date. Should anything change at the supplier that could affect their status with Eskom this certificate becomes null and void. Certificate may not be used for any marketing purposes or otherwise except for submission to Transmission procurement during tender stage. Eskom Transmission reserves the right to withdraw this certificate at any stage and at its sole discretion.

Explanatory notes and Rating system:

Final Rating of a supplier in the Transmission supplier database will be influenced by other factors not noted on this sheet. These are typically, but not limited to, financial strength, facilities, capacity, experience in the market, etc

A Zero rating will automatically lead to an unqualified status.

It is the supplier's responsibility to contact the issuing authority for a re evaluation should the Quality Management System status change. This could be a change to improve or reduce the Quality Rating.

[illegible]